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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re United States Patent Application of:**

**Applicants:** XU, Xueping, et al.

**Application No.:** 10/712,351

**Date Filed:** November 13, 2003

**Title:** **LARGE AREA, UNIFORMLY  
LOW DISLOCATION  
DENSITY GAN SUBSTRATE  
AND PROCESS FOR  
MAKING THE SAME**

**Docket No.:** 4241-685

**Conf. No.:** 1430

**Art Unit:** 1775

**Examiner:** Timothy M. Speer

**Customer No.:**

**23448**

**FIRST CLASS MAIL CERTIFICATE**

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, First Class Mailed under the provisions of 37 CFR 1.8.

Kate Pope

March 12, 2007

Date of Mailing

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN  
U.S. PATENT APPLICATION NO. 10/712,351**

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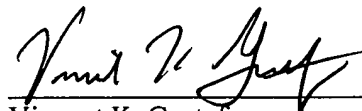
Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO/SB/08A. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

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Respectfully submitted,

  
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Attorney for Applicants

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**Enclosures:**

**IDS Forms [ 2 pg(s).]**

**Foreign Patent References [ 173 pgs.]**

**Non-Patent References [ 26 pgs.]**

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### THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1

of 2

Attorney Docket Number 4241-685

<b>Application Number</b>	10/712,351
<b>Filing Date</b>	11/13/2003
<b>First Named Inventor</b>	Xu et al.
<b>Art Unit</b>	1775
<b>Examiner Name</b>	Timothy M. Speer

[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO - 03/043150 - A1	05-22-2003	Dwilinsky, Robert, et al.		
		WO - 02/01608 - A2	01-03-2002	Flynn, Jeffrey S., et al.		
		EP - 1 249 522 - A2	10-16-2002	Motoki, Kensaku, et al.		

Date  
Considered

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				Application Number	10/712,351
				Filing Date	11/13/2003
				First Named Inventor	Xu et al.
				Art Unit	1775
				Examiner Name	Timothy M. Speer
Sheet	2	of	2	Attorney Docket Number	4241-685

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		HIRAMATSU, KAZUMASA, ET AL., Fabrication and characterization of low defect density GaN using facet-controlled epitaxial lateral overgrowth (FACELO), J. Cryst. Growth, December 2000, Page(s) 316-326, Volume 221, Number 1-4	
		MOTOKI, KENSAKU, ET AL., Preparation of large GaN substrates, Mater. Sci. Eng. B, May 30, 2002, Page(s) 123-130, Volume 93, Number 1-3	
		VENNEGUES, P., ET AL., Reduction mechanisms for defect densities in GaN using one- or two-step epitaxial lateral overgrowth methods, J. Appl. Phys., May 1, 2000, Page(s) 4175-4181, Volume 87, Number 9	

Examiner Signature		Date Considered	
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